

UNITED STATES DEPARTMENT OF COMMERCI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/814,861

03/31/2004

Craig Webster

PAT-032B1

29129 ROCCO L. ADORNATO C/O WEST CORPORATION 11808 MIRACLE HILLS DR. MAIL STOP: W11-LEGAL OMAHA, NE 68135 CONFIRMATION NO. 5590 FORMALITIES LETTER
OC000000012932017

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$402 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1302 for a Large Entity

• \$770 Statutory basic filing fee.

08/20/2004 MAHNED1 00000010 10814861

01 FC:1001 770.00 OP 02 FC:1051 130.00 OP 03 FC:1202 144.00 OP 04 FC:1201

258.00 OP

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$402
 - \$258 for 3 independent claims over 3.
 - \$144 for 8 total claims over 20.

Replies should be mailed to: Mail Sto

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

BINH

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

PTO/SB/17 (10-03)

AUG04

Date

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number. the Paperwork Reduction Act of 1995, no persons are required to re

| 10 | FEE | TR | AN | SMIT | TAL |
|----|-----|-----|----|------|-----|
| | | for | FY | 2004 | 1 |

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1302

Signature

| Complete if Known | | | | |
|----------------------|---------------|--|--|--|
| Application Number | 10/814,861 | | | |
| Filing Date | 31 March 2004 | | | |
| First Named Inventor | Craig Webster | | | |
| Examiner Name | Not Known | | | |
| Art Unit | 2142 | | | |
| Attorney Docket No. | PAT-032B1 | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | | |
|--|--|----------|--|--|--|--|--|
| Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | | |
| Order — — | Large Entity Small Entity | | | | | | |
| Deposit Account: | Fee Fee Fee Fee Fee Description | E D-1-1 | | | | | |
| Deposit Account 501880 | Code (\$) Code (\$) 1051 130 2051 65 Surcharge - late filing fee or oath | Fee Paid | | | | | |
| Number Deposit | 1052 50 2052 25 Surcharge - late provisional filing fee or | 150 | | | | | |
| Account West Corporation | cover sheet | | | | | | |
| Name The Director is authorized to: (check all that apply) | 1053 130 1053 130 Non-English specification | | | | | | |
| Charge fee(s) indicated below Credit any overpayments | 1812 2,520 1812 2,520 For filing a request for ex parte reexamina | ition | | | | | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action | | | | | | |
| Charge fee(s) indicated below, except for the filing fee | 1805 1,840* 1805 1,840* Requesting publication of SIR after | | | | | | |
| to the above-identified deposit account. | Examiner action | | | | | | |
| FEE CALCULATION | 1251 110 2251 55 Extension for reply within first month | | | | | | |
| 1. BASIC FILING FEE | 1252 420 2252 210 Extension for reply within second month | | | | | | |
| Large Entity Small Entity | 1253 950 2253 475 Extension for reply within third month | | | | | | |
| Fee Fee Fee Fee Fee Paid Code (\$) Code (\$) | 1254 1,480 2254 740 Extension for reply within fourth month | | | | | | |
| 1001 770 2001 385 Utility filing fee 770 | 1255 2,010 2255 1,005 Extension for reply within fifth month | | | | | | |
| 1002 340 2002 170 Design filing fee | 1401 330 2401 165 Notice of Appeal | | | | | | |
| 1003 530 2003 265 Plant filing fee | 1402 330 2402 165 Filing a brief in support of an appeal | | | | | | |
| 1004 770 2004 385 Reissue filing fee | 1403 290 2403 145 Request for oral hearing | | | | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1,510 1451 1,510 Petition to institute a public use proceeding | ng | | | | | |
| SUBTOTAL (1) (\$) 770 | 1452 110 2452 55 Petition to revive - unavoidable | | | | | | |
| | 1453 1,330 2453 665 Petition to revive - unintentional | | | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUI | 1501 1,330 2501 665 Utility issue fee (or reissue) | | | | | | |
| <u>Extra Claims below Fee Paid</u> | 1502 480 2502 240 Design issue fee | | | | | | |
| Total Claims 28 -20** = 8 X 18 = 144 | 1503 640 2503 320 Plant issue fee | | | | | | |
| Claims 0 - 3 = 0 | 1460 130 1460 130 Petitions to the Commissioner | | | | | | |
| Multiple Dependent = | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) | | | | | | |
| Large Entity Small Entity | 1806 180 1806 180 Submission of Information Disclosure Str | nt | | | | | |
| Fee Fee Fee Fee Fee Description Code (\$) | 8021 40 8021 40 Recording each patent assignment per property (times number of properties) | | | | | | |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 | 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) | | | | | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 770 2810 385 For each additional invention to be | | | | | | |
| 1204 86 2204 43 ** Reissue independent claims | examined (37 CFR 1.129(b)) | | | | | | |
| over original patent | 1801 770 2801 385 Request for Continued Examination (RC | (E) | | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 900 1802 900 Request for expedited examination of a design application | | | | | | |
| SUBTOTAL (2) (\$) 402 | Other fee (specify) | | | | | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) | 130 | | | | | |
| or number previously pand, if greater, for recoded, see those | | | | | | | |
| OCCUMITION OF | | | | | | | |
| Name (Print/Type) Rocco L. Adorpato | Registration No. 40,480 Telephone 888-575-6 | | | | | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.